

Sponsor (Company) Name: [ ]

UCLA PI Name: [ ]

1) Is any university intellectual property (patents, copyrights or software) or Sponsor proprietary information, equipment, or materials being used in this project?

- NO
YES, university intellectual property, (please continue to question #1a)
YES, Sponsor proprietary information, equipment, or materials (please continue to question #2)

1a) Who is the lead inventor or author of the university intellectual property and what is his/her UC affiliation (employee or UC affiliate (e.g. student, visiting scientist))?

[ ]

1b) Has the intellectual property been disclosed to the UCLA Office of Intellectual Property?

- NO
YES If YES, please list UC Case Numbers or, if it is from another university, please list the name of that university:

If NO, please list the following:

Title or Description: [ ]

Inventor/Author(s): [ ]

Patent No (if applicable): [ ]

Assignee(s): [ ]

1c) Has the intellectual property been licensed or optioned?

- NO (please continue to question #2)
YES If YES, please list the name(s) of the entity that has licensed or optioned the IP:

2) What proprietary information, materials, or equipment will the Sponsor be providing you to conduct the scope of work?

[ ]

2a) Will you be conducting the scope of work using Sponsor's materials or equipment in conjunction with any other material or equipment received from a third party (including the Government)?

- NO (please continue to question #3)
YES If YES, please explain:

[ ]

3) Will you be conducting the scope of work with funding received from a third party (including the Government) other than the Sponsor?

- NO (please continue to question #4)
YES If YES, please explain:

[ ]

4) Will any non-UCLA employees, including visiting scientists/scholars or volunteers, be visiting your lab to perform research or related tasks in the conduct of this research project?

- NO (please continue to question #5)
YES If YES, please explain:

[ ]

5) Please attach the following documents to the email when you submit this form.

- Goldenrod
Scope of Work
Budget
PI Exception Letter, if necessary
Financial Disclosure Forms (700-U, 700-U Addendum, and Supplement, if necessary). For UC Discovery awards and federal government subcontracts, please also attach Form 740.

IF THIS INVOLVES AN SBIR OR STTR PROPOSAL, please continue to question #6. Otherwise, please sign and date below.

6) Per Federal guidelines, does the Sponsor have its own research facilities (or research facilities that it controls)?

- NO (please continue to question #7)
YES If YES, please list the address of the Sponsor's research facilities:

[ ]

7) Please list the name of the Sponsor Representative who will be negotiating the subcontract with UCLA:

Name: [ ] Title: [ ] Ph #: [ ]

8) Please provide the names of any Sponsor officials or employees who are also UCLA employees, students or trainees:

[ ]

9) Please list the name of the Sponsor Principal Investigator(s):

[ ]

10) Does the Sponsor intend to rely on the program and facilities of UCLA's Institutional Animal Care and Use Committee to oversee the performance of its animal research?

- NO
YES
Not Applicable

11) Please Attach the following documents (in addition to those listed in question #5):

- Solicitation Number or Copy of Solicitation
Authorization Letter to participate in SBIR/STTR from Chair and Dean
Approved exception from the Vice Chancellor for Research to allow a UCLA employee to serve as the Company PI, if applicable.
For Phase II SBIR/STTR, please also attach Form 740

I confirm that the above information is accurate to the best of my knowledge. If any of the information above should change during the term of the project I will update this form and re-submit it to UCLA Office of Intellectual Property and Industry Sponsored Research (OIP-ISR).

Signature

[ ]

Name (Printed)

[ ]

Date

[ ]